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Meredith Abrams Speaks at PLI's Fundamentals of Broker-Dealer Regulation 2023 Program

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Corporate Counsel Meredith Abrams participated in Practising Law Institute's (PLI) "Fundamentals of Broker-Dealer Regulation 2023" program on July 17 in New York. Meredith spoke with a senior member of the staff of the Financial Industry Regulatory Authority, Inc. (FINRA) on a panel titled, "Broker-Dealer Fundamentals – An Overview of Broker-Dealer Regulation," which addressed numerous aspects of broker-dealer regulation.

For more information on the program, please [click here](#).

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